

Fraction of Nonnegative Polynomials which are Sums of Squares

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July 26, 2011

Introduction

Sums of Squares

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Main Idea

Hit and Run

Choosing a direction

Finding the endpoints

- A polynomial $f \in \mathbb{R}[x_1, \dots, x_n]$ is a sum of squares polynomial (SOS) if $f = \sum_{i=1}^k p_i^2$ for some polynomials p_i .

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- Parrilo created an algorithm to optimize SOS polynomials in polynomial time via semidefinite programming. Polynomial optimization has applications in many areas such as electrical engineering.

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- Parrilo created an algorithm to optimize SOS polynomials in polynomial time via semidefinite programming. Polynomial optimization has applications in many areas such as electrical engineering.
- All SOS polynomials are nonnegative. How many nonnegative polynomials are SOS?

Previous work

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- Hilbert showed that all nonnegative univariate polynomials, quadratic forms, and ternary quartics are sums of squares. For all other cases, there exist nonnegative polynomials which are not SOS.

Previous work

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- For nonnegative polynomials of fixed degree, previous results by Blekherman show that the fraction of nonnegative polynomials that are SOS approaches zero as the number of variables increases.

Previous work

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- For nonnegative polynomials of fixed degree, previous results by Blekherman show that the fraction of nonnegative polynomials that are SOS approaches zero as the number of variables increases.
- What about polynomials in few variables of low degree?

Cone of Polynomials

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- Focus on bivariate polynomials $f(x, y)$, $\deg_y(f)$ and $\deg_x(f)$ at most 4:

$$f(x, y) = c_1 + c_2x + c_3x^2 + c_4x^3 + c_5x^4 + c_6y + c_7xy + c_8x^2y + c_9x^3y + c_{10}x^4y + c_{11}y^2 + c_{12}xy^2 + c_{13}x^2y^2 + c_{14}x^3y^2 + c_{15}x^4y^2 + c_{16}y^3 + c_{17}xy^3 + c_{18}x^2y^3 + c_{19}x^3y^3 + c_{20}x^4y^3 + c_{21}y^4 + c_{22}xy^4 + c_{23}x^2y^4 + c_{24}x^3y^4 + c_{25}x^4y^4$$

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- The set of nonnegative polynomials of this type form a 25 dimensional cone, and the set of sums of squares of polynomials form a cone inside.

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- The set of nonnegative polynomials of this type form a 25 dimensional cone, and the set of sums of squares of polynomials form a cone inside.
- Intersect the cones with the hyperplane of polynomials

$$\int_{S^1 \times S^1} f \, d\mu = 1.$$

Main Idea

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- 24 dimensional convex body of sum of squares polynomials inside convex body of nonnegative polynomials.

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- 24 dimensional convex body of sum of squares polynomials inside convex body of nonnegative polynomials.
- Find ratio of the volumes to find the fraction.

Hit and Run

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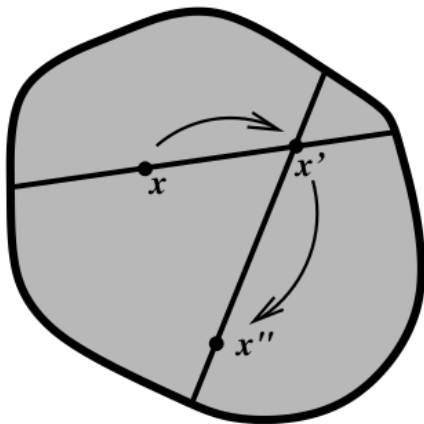


Figure: Hit and Run algorithm

Choosing a direction

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- Begin with a polynomial f in the convex body.

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- Begin with a polynomial f in the convex body.
- Choose a direction v uniformly from the space of polynomials

$$\int_{S^1 \times S^1} g \, d\mu = 0.$$

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- Begin with a polynomial f in the convex body.
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$$\int_{S^1 \times S^1} g \, d\mu = 0.$$

- Then,

$$\int_{S^1 \times S^1} (f + t \cdot v) \, d\mu = 1.$$

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- Then,

$$\int_{S^1 \times S^1} (f + t \cdot v) \, d\mu = 1.$$

- How do we find the values of t at the endpoints?

The support A of a polynomial

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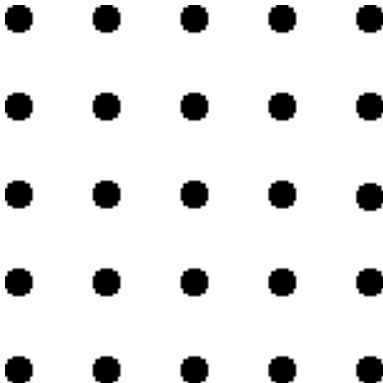
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A-discriminant

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- Given a polynomial $h(x_1, \dots, x_n)$ with support A , the A -discriminant $\Delta_A(h)$ is an irreducible polynomial in the coefficients of h which vanishes when h has a degenerate root (i.e. $\frac{\partial h}{\partial x_i} = 0$ for all i).

A-discriminant

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- Simple example:

$$f(x) = ax^2 + bx + c, \Delta_A(f) = b^2 - 4ac$$

A-discriminant

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- Simple example:

$$f(x) = ax^2 + bx + c, \Delta_A(f) = b^2 - 4ac$$

- A nonnegative polynomial h is on the boundary of our cone when $\Delta_A(h) = 0$. However, Δ_A is not easy to compute!

Finding the values of t

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- The resultant of polynomials h_1, \dots, h_k is an irreducible polynomial in the coefficients of h_1, \dots, h_k which vanishes when h_1, \dots, h_k have a common root.

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- The resultant of polynomials h_1, \dots, h_k is an irreducible polynomial in the coefficients of h_1, \dots, h_k which vanishes when h_1, \dots, h_k have a common root.
- The principal A -determinant E_A is the following resultant:

$$E_A(h) = \text{Res}_{(A,A,A)}(h, x \frac{\partial h}{\partial x}, y \frac{\partial h}{\partial y})$$

When h is bivariate, we know how to compute this resultant.

Finding the values of t

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When h is bivariate, we know how to compute this resultant.

- E_A is a multiple of the A -discriminant:

$$E_A(h) = (\Delta_A(h))(\Delta _ \Delta _ \Delta | \Delta | \Delta . \Delta . \Delta . \Delta .)$$

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When h is bivariate, we know how to compute this resultant.

- E_A is a multiple of the A -discriminant:

$$E_A(h) = (\Delta_A(h))(\Delta_ \Delta_ \Delta_ | \Delta_ \Delta_ \Delta_ \Delta_)$$

- To find the values of t at the endpoints, find the roots of $\Delta_A(f + t \cdot v)$ closest to 0!